

Substitute Form PTO-1449 (Modified) Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 12732-024001	Application No. 9 Not yet assigned
	Applicant Shunpei YAMAZAKI et al			
	Filing Date March 27, 2001		Group Art Unit Unknown	

U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
<i>[Signature]</i>	AA	5,247,190	09/21/1993	Friend et al.	257	40	
<i>[Signature]</i>	AB	5,399,502	03/21/1995	Friend et al.	438	22	

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
<i>[Signature]</i>	AC	WO90/13148	11/01/1990	PCT				
<i>[Signature]</i>	AD	EP0880303A1	11/25/1998	European Patent Office				
<i>[Signature]</i>	AE	EP0892028A2	01/20/1999	European Patent Office				

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
<i>[Signature]</i>	AF	Tsutsui et al., "Electroluminescence in Organic Thin Films", Photochemical Processes in Organized Molecular Systems, 1991, pp. 437-450.
<i>[Signature]</i>	AG	M. A. Baldo et al., "Highly Efficient Phosphorescent Emission from Organic Electroluminescent Devices", Nature Vol. 395, September 10, 1998, pp. 151-154.
<i>[Signature]</i>	AH	M. A. Baldo et al., "Very High-Efficiency Green Organic Light-Emitting Devices Based on Electrophosphorescence", Applied Physics Letters Vol. 75, No. 1, July 5, 1999, pp. 4-6
<i>[Signature]</i>	AI	Tsutsui et al., "High Quantum Efficiency in Organic Light-Emitting Devices with Iridium-Complex as a Triplet Emissive Center", Japanese Journal of Applied Physics Vol. 38, Part 12B, December 15, 1999, pp. L1502-L1504.
<i>[Signature]</i>	AJ	Herman Schenk et al., "Polymers for Light Emitting Diodes", EuroDisplay '99 Proceedings, pp. 33-37; September 6-9, 1999.
<i>[Signature]</i>	AK	Japanese Patent Application Laid-Open No. 10-092576 (English Abstract attached) 5/10/1998
<i>[Signature]</i>	AL	Japanese Patent Application Laid-Open No. 10-12377 (English Abstract attached) 1/16/1998
<i>[Signature]</i>	AM	Japanese Patent Application Laid-Open No. 10-153967 (English Abstract attached) 6/9/1998
<i>[Signature]</i>	AN	Japanese Patent Application Laid-Open No. 11-54270 (English Abstract attached) 2/26/1999

Examiner Signature <i>[Signature]</i>	Date Considered 8/29/02
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449

U.S. Department of Commerce
Patent and Trademark OfficeAttorney's Docket No.
12732-024001Application No.
09/817,675**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

#6

(37 CFR 1.98(b))

Applicant
Shunpei YAMAZAKI et alFiling Date
March 27, 2001

Group Art Unit

2821 2873

U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
<i>D</i>	AA	6,268,695	07/31/2001	Affinito	313	504	12/16/1998
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	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AQ	
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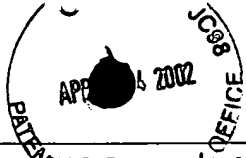
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		Applicant Shunpei YAMAZAKI et al	
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		Information Disclosure Statement by Applicant (Use several sheets if necessary) #5	

(37 CFR §1.98(b))

U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,686,360	11/11/1997	Harvey, III et al.	438	28	11/30/1995
	AB						
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	AN							
	AO							
	AP							

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